

JPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 3017**
Mitsuaki OSHIMA et al. : Docket No. 2004_0285
Serial No. 10/783,588 : Group Art Unit 2631
Filed February 23, 2004 :
COMMUNICATION SYSTEM :

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Patentees request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application
PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No.
_____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. [X] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

In the J.A.C. Bingham reference, pages 9 and 10 are advertising pages and have been omitted.

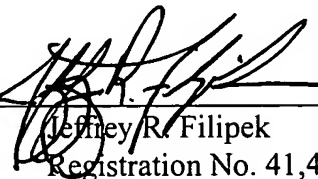
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.
6. ☐ Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Mitsuaki OSHIMA et al.

By



Jeffrey R. Filipek
Registration No. 41,471
Attorney for Patentees

JRF/fs
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
June 9, 2005

INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
2004_0285SERIAL NO.
10/783,588LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)APPLICANT
Mitsuaki OSHIMA et al.

Date Submitted to PTO: June 9, 2004

FILING DATE
February 23, 2004GROUP
2631

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,824,548	7-16-74	Sullivan et al.			
	AB	3,959,726	5-25-76	Hinoshita et al.			
	AC	4,303,939	12-1-81	Stephens et al.			
	AD	4,303,941	12-1-81	Marti et al.			
	AF	4,309,726	1-5-82	Tanaka et al.			
	AF	4,535,352	8-85	Haskell			
	AG	4,564,858	1-14-86	Resch			
	AH	4,581,639	4-8-86	Judge			
	AI	4,769,819	9-6-88	Matsutani et al.			
	AJ	4,794,447	12-27-88	Tsinberg			
	AK	4,800,426	1-24-89	Glenn			
	AL	4,817,192	3-28-89	Phillips et al.			
	AM	5,007,088	4-9-91	Ooi et al.			
	AN	5,029,003	7-91	Jonnalagadda			
	AO	5,134,464	7-28-92	Basile et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AP							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ	Masafumi SAITO et al., "Bit Error Rate Characteristics of OFDM in Multipath Environment", 1991						
	AR	Ryutaro OHMOTO et al., " $\pi/4$ -shift QPSK Subcarrier Transmission", 1991						

EXAMINER

DATE CONSIDERED

INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: June 9, 2005

ATTY DOCKET NO.
2004_0285SERIAL NO.
10/783,588APPLICANT
Mitsuaki OSHIMA et al.FILING DATE
February 23, 2004GROUP
2631

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,181,112	1-19-93	Citta et al.			
	AB	5,377,051	12-27-94	Lane et al.			
	AC	5,400,084	3-21-95	Scarpa			
	AD	5,576,902	11-19-96	Lane et al.			
	AE	5,218,622	6-8-93	Fazel et al.			
	AE	5,561,468	10-1-96	Bryan et al.			
	AG	5,598,220	1-97	Citta et al.			
	AH	5,802,241	9-1-98	Oshima			
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	3-48587	3-1-91	Japan			abstract
	AK						
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	J. A. C. Bingham, "Multicarrier Modulation for Data Transmission: An Idea Whose Time Has Come", IEEE Communications Magazine, Vol. 28, May 1990, pages 5-8 and 11-14
	AP	B. Hiroaki, "An Orthogonally Multiplexed QAM System Using the Discrete Fourier Transform", IEEE Transactions on Communications, Vol. Com-29, No. 7, July 1981, pages 982-989
	AQ	L. J. Cimini, Jr., "Analysis and Simulation of a Digital Mobile Channel Using Orthogonal Frequency Division Multiplexing", IEEE Transactions on Communications, Vol. Com-33, No. 7, July 1985, pages 665-675 and Annex

EXAMINER

DATE CONSIDERED